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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOV 22 2006

Inventor(s): Lee et al.

Serial No.: 10/669,986

Examiner: Payne, Sharon E

Filing Date: September 23, 2003

Group Art Unit: 2875

Title: CERAMIC PACKAGING FOR HIGH BRIGHTNESS LED DEVICES

COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- (a) ☐ Under 37 CFR 1.97(b).
(Within three months of filing national application; or date of entry of national application; or before mailing date of first Office action on the merits; whichever occurs last).
- (b) ☒ Under 37 CFR 1.97(c) together with *either* a:
☐ Statement under CFR 1.97(e), or
☒ \$180.00 fee under 37 CFR 1.17(p).
(After the CFR 1.97(b) time period, but before a final action or notice of allowance, whichever occurs first).
- (c) ☐ Under 37 CFR 1.97(d) together with: a
Statement under 37 CFR 1.97(e), and
\$180.00 fee as set forth in 37 CFR 1.17(p).
(After a final action or notice of allowance, whichever occurs first, but before payment of the issue fee).

☐ STATEMENT UNDER 37 CFR 1.97(e)

The undersigned certifies that:

- ☐ Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or
- ☐ No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

☐ PRIOR APPLICATIONS

References identified with an asterisk (*) in the enclosed PTO Form 1449, were disclosed in prior Patent Application No. _____, filed _____, now U.S. Patent No. _____, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).

☐ FOREIGN LANGUAGE DOCUMENTS

A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

11/24/2006 MWOLDGE1 00000101 503718 10669986

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PAGE 1/20 * RCVD AT 11/22/2006 5:41:04 PM [Eastern Standard Time] * SVR:USPTO-EFAXF-1/22 * DNIS:2738300 * CSID:303 297 2266 * DURATION (mm-ss):06-48

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☒ **FEE AUTHORIZATION**

Please charge to Deposit Account **50-3718** the sum of \$180.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-3718** pursuant to 37 CFR 1.25.

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Respectfully submitted,

Lee et al.

By


Jay K. Walkin
Attorney/Agent for Applicant(s)

Reg. No. 31,393

Date: 11/22/06

Telephone No. (303) 298-9888

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Form PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
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STATEMENT

(Use several sheets if necessary)

ATTORNEY DOCKET NO.
70030735-1SERIAL NO.
10/669,986

APPLICANT

Lee et al.

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GROUP
2875

NOV 22 2006

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	*	DOCUMENT NUMBER	DATE	NAME
		3,780,357	December 18, 1973	Haitz
		5,177,593	January 5, 1993	Abe
		5,317,196	May 31, 1994	Wong
		5,907,151	May 25, 1999	Gramann et al.
		6,204,523	March 20, 2001	Carey et al.
		6,355,946	March 12, 2002	Ishinaga
		6,507,049	January 14, 2003	Yeager et al.
		6,705,748	March 16, 2004	Morris
		6,828,170	December 7, 2004	Roberts et al.

FOREIGN PATENT DOCUMENT

		DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES	NO
		JP 11-181267	12/1997	Idemitsu (Abstract only) Petrochem Co. Ltd.		X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

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* Copies of these references are not enclosed Pursuant to 37 CFR 1.98(d). (See accompanying IDS)

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U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME
	7,038,195	May 2, 2006	Kida et al.
	2005/0184387	August 25, 2005	Collins et al.

FOREIGN PATENT DOCUMENT

DOCUMENT NUMBER	DATE	NAME	TRANSLATION YES NO

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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